

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation
History
Overview

Results

Two 1-factors
Three 1-factors
 $p \geq 4$ 1-factors
Threshold or not
Bounds

Questions

An extremal problem for a constant number of 1-factors

John Schmitt

Middlebury College

June 2010

joint work with
Andrzej Dudek (Carnegie Mellon University)

8th French Combinatorics Conference, U. Paris Sud

- G — a graph of order n
- 1 -factor — a spanning 1-regular subgraph (i.e. a *perfect matching*)
- $\Phi(G)$ — number of 1-factors in G
- $G_1 \cup G_2$ — the *union* of G_1 and G_2
- $G_1 + G_2$ — the *join* of G_1 and G_2
- n — an even integer throughout

- G — a graph of order n
- 1 -factor — a spanning 1-regular subgraph (i.e. a *perfect matching*)
- $\Phi(G)$ — number of 1-factors in G
- $G_1 \cup G_2$ — the *union* of G_1 and G_2
- $G_1 + G_2$ — the *join* of G_1 and G_2
- n — an even integer throughout (except when we simply use it as a letter of a word, e.g. even)

Theorem (G. Hetyei, unpublished - communicated by L. Lovász ('72))

The maximum number of edges in an n -vertex graph G with a unique 1-factor (i.e. $\Phi(G) = 1$) is $\frac{n^2}{4}$. The n -vertex extremal graph H_n is unique. For $n = 2$ it is $H_2 = K_2$ and for $n \geq 4$ we can define it recursively as $H_n = K_1 + (H_{n-2} \cup K_1)$.

Theorem (G. Hetyei, unpublished - communicated by L. Lovász ('72))

The maximum number of edges in an n -vertex graph G with a unique 1-factor (i.e. $\Phi(G) = 1$) is $\frac{n^2}{4}$. The n -vertex extremal graph H_n is unique. For $n = 2$ it is $H_2 = K_2$ and for $n \geq 4$ we can define it recursively as $H_n = K_1 + (H_{n-2} \cup K_1)$.

Proof.

There can be at most 2 edges joining two distinct edges of the 1-factor. Thus,

$$|E(G)| \leq n/2 + 2 \binom{n/2}{2} = \frac{n^2}{4}.$$



Various authors considered a generalization of Hetyei's problem: what is the maximum number of edges in an n -vertex graph with unique k -factor? Results from:

- G. Hendry ('84)
- P. Johann ('00)
- L. Volkmann ('04)
- A. Hoffmann, L. Volkmann ('04)

Various authors considered a generalization of Heteyi's problem: what is the maximum number of edges in an n -vertex graph with unique k -factor? Results from:

- G. Hendry ('84)
- P. Johann ('00)
- L. Volkmann ('04)
- A. Hoffmann, L. Volkmann ('04)

For extremal and structural results on 1-factors see:

- Bollobás' *Extremal Graph Theory* (cf. Chapter 2)
- Lovász and Plummer's *Matching Theory* (cf. Ch. 5 and 8)

The problem

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation

History

Overview

Results

Two 1-factors

Three 1-factors

$p \geq 4$ 1-factors

Threshold or not

Bounds

Questions

Problem

Determine maximum size and structure of such graphs when $\Phi(G) = p \geq 1$.

Notation: Let $f(n, p)$ denote the maximum number of edges in n -vertex graph G with $\Phi(G) = p$.

The problem

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction
Definitions and
notation
History
Overview

Results

Two 1-factors
Three 1-factors
 $p \geq 4$ 1-factors
Threshold or not
Bounds

Questions

Problem

Determine maximum size and structure of such graphs when $\Phi(G) = p \geq 1$.

Notation: Let $f(n, p)$ denote the maximum number of edges in n -vertex graph G with $\Phi(G) = p$.

e.g., $f(n, 1) = \frac{n^2}{4}$

Overview of our results

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation

History

Overview

Results

Two 1-factors

Three 1-factors

$p \geq 4$ 1-factors

Threshold or not

Bounds

Questions

- Determine $f(n, p)$ for $2 \leq p \leq 6$ and find extremal graphs for $p = 2, 3$.
- Give bounds on $f(n, p)$ for arbitrary p , showing that $f(n, p)$ grows like $\frac{n^2}{4}$.
- Observe irregular and non-monotonic behavior of $f(n, p)$.

Basic fact

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation

History

Overview

Results

Two 1-factors

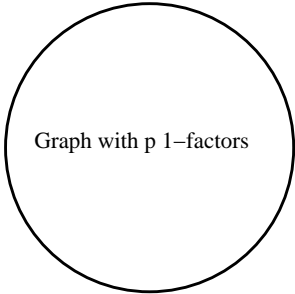
Three 1-factors

$p \geq 4$ 1-factors

Threshold or not

Bounds

Questions



Graph with p 1-factors

Basic fact

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation

History

Overview

Results

Two 1-factors

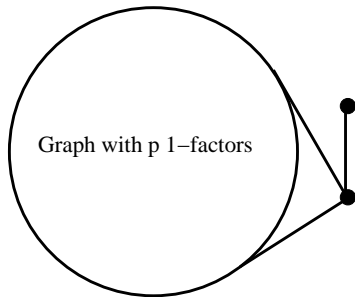
Three 1-factors

$p \geq 4$ 1-factors

Threshold or not

Bounds

Questions



Lemma

Let $f(n, p) > 0$. Then $f(n + 2, p) \geq f(n, p) + (n + 1)$.

Consequently, if $f(n, p) \geq \frac{n^2}{4} + c$ then $f(n + 2, p) \geq \frac{(n+2)^2}{4} + c$.

Lemma

Let $f(n, p) > 0$. Then $f(n + 2, p) \geq f(n, p) + (n + 1)$.

Consequently, if $f(n, p) \geq \frac{n^2}{4} + c$ then $f(n + 2, p) \geq \frac{(n+2)^2}{4} + c$.

Proof.

- Let G_n be an extremal graph of order n with $\Phi(G_n) = p$.
- Define recursively $G_{n+2} = K_1 + (G_n \cup K_1)$. Note that $\Phi(G_{n+2}) = \Phi(G_n) = p$.

Lemma

Let $f(n, p) > 0$. Then $f(n + 2, p) \geq f(n, p) + (n + 1)$.

Consequently, if $f(n, p) \geq \frac{n^2}{4} + c$ then $f(n + 2, p) \geq \frac{(n+2)^2}{4} + c$.

Proof.

- Let G_n be an extremal graph of order n with $\Phi(G_n) = p$.
- Define recursively $G_{n+2} = K_1 + (G_n \cup K_1)$. Note that $\Phi(G_{n+2}) = \Phi(G_n) = p$.
- Hence, $f(n + 2, p) \geq |E(G_{n+2})| = |E(G_n)| + (n + 1) = f(n, p) + (n + 1)$, as required.



$f(n, 2)$ - an extremal graph, F_n

An extremal problem for a constant number of 1-factors

John Schmitt

Introduction

Definitions and notation

History

Overview

Results

Two 1-factors

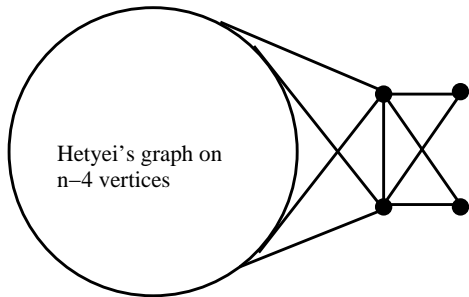
Three 1-factors

$p \geq 4$ 1-factors

Threshold or not

Bounds

Questions



Theorem

*For every even $n \geq 4$, $f(n, 2) = \frac{n^2}{4} + 1$; otherwise $f(n, 2) = 0$.
Furthermore, for every $n \geq 4$ there are precisely $\frac{n-2}{2}$ extremal
graphs G_n^i , $1 \leq i \leq \frac{n-2}{2}$, defined recursively as follows:*

$$G_n^i = \begin{cases} K_1 + (G_{n-2}^i \cup K_1) & \text{for } 1 \leq i \leq \frac{n-4}{2}, \\ F_n & \text{for } i = \frac{n-2}{2}. \end{cases}$$

Proof for $f(n, 2) = \frac{n^2}{4} + 1$

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation

History

Overview

Results

Two 1-factors

Three 1-factors

$p \geq 4$ 1-factors

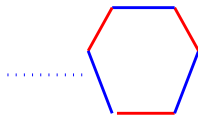
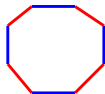
Threshold or not

Bounds

Questions



Agree on $k-1$ edges



Disagree elsewhere

Proof for $f(n, 2) = \frac{n^2}{4} + 1$

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation

History

Overview

Results

Two 1-factors

Three 1-factors

$p \geq 4$ 1-factors

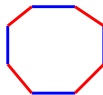
Threshold or not

Bounds

Questions



Agree on $k-1$ edges



Disagree elsewhere

Proof for $f(n, 2) = \frac{n^2}{4} + 1$

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation

History

Overview

Results

Two 1-factors

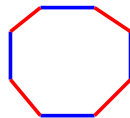
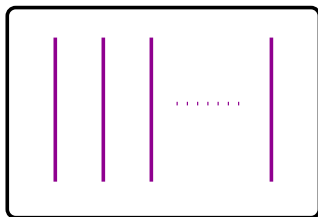
Three 1-factors

$p \geq 4$ 1-factors

Threshold or not

Bounds

Questions



Heteyi's graph

Proof for $f(n, 2) = \frac{n^2}{4} + 1$

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation

History

Overview

Results

Two 1-factors

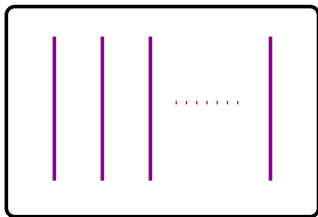
Three 1-factors

$p \geq 4$ 1-factors

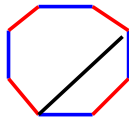
Threshold or not

Bounds

Questions



Heteyi's graph



No even chords.

Proof for $f(n, 2) = \frac{n^2}{4} + 1$

An extremal problem for a constant number of 1-factors

John Schmitt

Introduction

Definitions and notation

History

Overview

Results

Two 1-factors

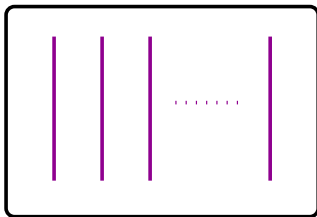
Three 1-factors

$p \geq 4$ 1-factors

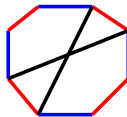
Threshold or not

Bounds

Questions



Hetyei's graph



One odd chord per pair red edges.

Proof for $f(n, 2) = \frac{n^2}{4} + 1$

An extremal problem for a constant number of 1-factors

John Schmitt

Introduction

Definitions and notation

History

Overview

Results

Two 1-factors

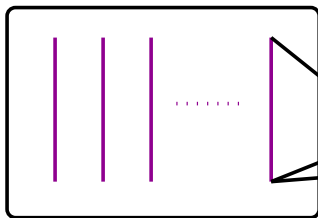
Three 1-factors

$p \geq 4$ 1-factors

Threshold or not

Bounds

Questions



Hetyei's graph

One odd chord per pair red edges.

Two edges between.

Proof for $f(n, 2) = \frac{n^2}{4} + 1$

An extremal problem for a constant number of 1-factors

John Schmitt

Introduction

Definitions and notation

History

Overview

Results

Two 1-factors

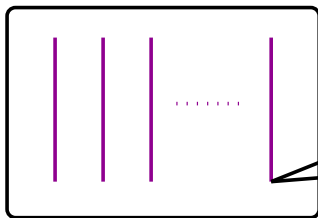
Three 1-factors

$p \geq 4$ 1-factors

Threshold or not

Bounds

Questions



Hetyei's graph

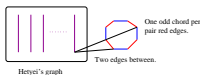
One odd chord per pair red edges.

Two edges between.

Proof for $f(n, 2) = \frac{n^2}{4} + 1$

An extremal problem for a constant number of 1-factors

John Schmitt



Introduction

Definitions and notation

History

Overview

Results

Two 1-factors

Three 1-factors

$p \geq 4$ 1-factors

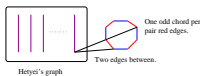
Threshold or not

Bounds

Questions

$$\begin{aligned}
 |E(G)| &\leq \overbrace{\binom{k-1}{2}}^{r_1, \dots, r_{k-1}} + 2 \overbrace{\binom{k-1}{2}}^{r_i \text{ to } r_j} + \overbrace{(k-1)(n-2k+2)}^{r_1, \dots, r_{k-1} \text{ to } C} + \overbrace{(n-2k+2)}^{E(C)} \\
 &\quad + \overbrace{\binom{n/2 - k + 1}{2}}^{\text{Chords of } C} \\
 &= -\frac{1}{2} \left(k - \frac{n-1}{2} \right)^2 + \frac{n^2}{4} + \frac{9}{8} = g(k).
 \end{aligned}$$

Proof for $f(n, 2) = \frac{n^2}{4} + 1$



$$\begin{aligned}
 |E(G)| &\leq \overbrace{\binom{k-1}{k-1}}^{r_1, \dots, r_{k-1}} + 2 \overbrace{\binom{k-1}{2}}^{r_i \text{ to } r_j} + \overbrace{(k-1)(n-2k+2)}^{r_1, \dots, r_{k-1} \text{ to } C} + \overbrace{(n-2k+2)}^{E(C)} \\
 &\quad + \overbrace{\binom{n/2 - k + 1}{2}}^{\text{Chords of } C} \\
 &= -\frac{1}{2} \left(k - \frac{n-1}{2} \right)^2 + \frac{n^2}{4} + \frac{9}{8} = g(k).
 \end{aligned}$$

Clearly, on the set $\{0, \dots, \frac{n}{2} - 1\}$ the function $g(k)$ is maximized when $k = n/2 - 1$. Thus

$$|E(G)| \leq g(n/2 - 1) = n^2/4 + 1.$$

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation
History
Overview

Results

Two 1-factors
Three 1-factors
 $p \geq 4$ 1-factors
Threshold or not
Bounds

Questions

Analyze when equality holds to obtain extremal graphs. \square

One can easily generalize the proof of above Theorem to get the following.

Theorem

*For every even $n \geq 4$, $f(n, 3) = \frac{n^2}{4} + 2$; otherwise $f(n, 3) = 0$.
Furthermore, for each $n \geq 4$ there exists a unique extremal graph, for $n = 4$ it is $G_4 = K_4$ and for $n \geq 6$ it is given by $G_n = K_1 + (G_{n-2} \cup K_1)$.*

Introduction

- Definitions and notation
- History
- Overview

Results

- Two 1-factors
- Three 1-factors
- $p \geq 4$ 1-factors**
- Threshold or not
- Bounds

Questions

Lemma

Let $p \geq 1$ be an integer. Suppose that $f(n, r) \leq C$ for every $1 \leq r \leq p$. Then $f(n, p+1) \leq C+1$.

Lemma

Let $p \geq 1$ be an integer. Suppose that $f(n, r) \leq C$ for every $1 \leq r \leq p$. Then $f(n, p + 1) \leq C + 1$.

Proof.

- Let G be an n -vertex graph with $\Phi(G) = p + 1 \geq 2$ and $f(n, p + 1)$ edges. By way of contradiction we will assume that $f(n, p + 1) > C + 1$.
- We may find an edge e in G which belongs to at least one of the 1-factors but *not to all* of the 1-factors. Now consider $G - e$.

Lemma

Let $p \geq 1$ be an integer. Suppose that $f(n, r) \leq C$ for every $1 \leq r \leq p$. Then $f(n, p + 1) \leq C + 1$.

Proof.

- Let G be an n -vertex graph with $\Phi(G) = p + 1 \geq 2$ and $f(n, p + 1)$ edges. By way of contradiction we will assume that $f(n, p + 1) > C + 1$.
- We may find an edge e in G which belongs to at least one of the 1-factors but *not to all* of the 1-factors. Now consider $G - e$.
- The graph $G - e$ contains r 1-factor(s) for some $1 \leq r \leq p$ and has precisely $f(n, p + 1) - 1 > C \geq f(n, r)$ edges. This is a contradiction.

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation

History

Overview

Results

Two 1-factors

Three 1-factors

$p \geq 4$ 1-factors

Threshold or not

Bounds

Questions

Hetyei's Theorem and this Lemma immediately imply the following.

Corollary

For every $p \geq 1$, $f(n, p) \leq \frac{n^2}{4} + (p - 1)$.

Hetyei's Theorem and this Lemma immediately imply the following.

Corollary

For every $p \geq 1$, $f(n, p) \leq \frac{n^2}{4} + (p - 1)$.

p	1	2	3	4	5	6
c_p	0	1	2			
n_p	2	4	4			

Table: $f(n, p) = \frac{n^2}{4} + c_p$ for every even $n \geq n_p$.

$f(n, p)$ is not monotonic in p

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation

History

Overview

Results

Two 1-factors

Three 1-factors

$p \geq 4$ 1-factors

Threshold or not

Bounds

Questions

Remark

It would be nice to prove previous Lemma under a weaker condition, namely, assuming $f(n, p) \leq C$ only. Unfortunately, the function $f(n, p)$ is not monotonic in p . One can check that $f(8, 14) = 20 < 21 = f(8, 12)$. Thus in order to proceed in the proof of Lemma we have to assume that $f(n, r) \leq C$ for all $1 \leq r \leq p$.

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation

History

Overview

Results

Two 1-factors

Three 1-factors

$p \geq 4$ 1-factors

Threshold or not

Bounds

Questions

Theorem

For every even $n \geq 6$, $f(n, 4) = \frac{n^2}{4} + 2$; otherwise $f(n, 4) = 0$.

Theorem

For every even $n \geq 6$, $f(n, 4) = \frac{n^2}{4} + 2$; otherwise $f(n, 4) = 0$.

Proof uses approach in previous lemma (finding an edge belonging to two or three 1-factors), connectivity results (to be found in Bollobás' text), and yields no information on structure of extremal graphs.

A *threshold graph* is a graph that can be constructed from a one-vertex graph by repeated applications of the following two operations: (1) addition of a single isolated vertex to the graph, or (2) addition of a single dominating vertex to the graph.

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation

History

Overview

Results

Two 1-factors

Three 1-factors

$p \geq 4$ 1-factors

Threshold or not

Bounds

Questions

A *threshold graph* is a graph that can be constructed from a one-vertex graph by repeated applications of the following two operations: (1) addition of a single isolated vertex to the graph, or (2) addition of a single dominating vertex to the graph.

Fact

Extremal graphs for $p = 0, 1, 2, 3$ are threshold graphs (and also the ones we know for 4).

Fact

Recursive construction maintains threshold property.

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation

History

Overview

Results

Two 1-factors

Three 1-factors

$p \geq 4$ 1-factors

Threshold or not

Bounds

Questions

Theorem

If $\Phi(G)$ is a prime at least 5, then G is not a threshold graph.

A lower bound

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation

History

Overview

Results

Two 1-factors

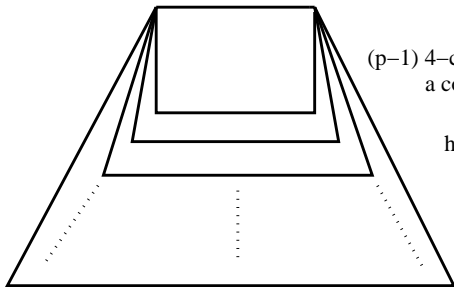
Three 1-factors

$p \geq 4$ 1-factors

Threshold or not

Bounds

Questions



$(p-1)$ 4-cycles sharing
a common edge

has p 1-factors

A lower bound

An extremal problem for a constant number of 1-factors

John Schmitt

Introduction

Definitions and notation

History

Overview

Results

Two 1-factors

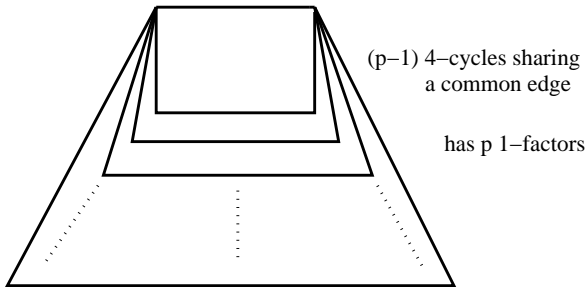
Three 1-factors

$p \geq 4$ 1-factors

Threshold or not

Bounds

Questions



Then apply recursive construction.

Theorem

For every $p \geq 4$ and even $n \geq 2p$,

$$\frac{n^2}{4} - (p-2)(p-1) \leq f(n, p) \leq \frac{n^2}{4} + (p-2).$$

Theorem

For every $p \geq 4$ and even $n \geq 2p$,

$$\frac{n^2}{4} - (p-2)(p-1) \leq f(n, p) \leq \frac{n^2}{4} + (p-2).$$

p	1	2	3	4	5	6
c_p	0	1	2	2	2	3
n_p	2	4	4	6	6	6

Table: $f(n, p) = \frac{n^2}{4} + c_p$ for every even $n \geq n_p$.

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation

History

Overview

Results

Two 1-factors

Three 1-factors

$p \geq 4$ 1-factors

Threshold or not

Bounds

Questions

Question

$f(n, (2t - 1)!!) \geq \frac{n^2}{4} + (t^2 - t)$ and is tight for $t = 1$ and $t = 2$. Is it always?

Question

We know $f(n + 2, p) \geq f(n, p) + (n + 1)$. Is it tight? If yes, then answer to previous question is yes.

An extremal
problem for a
constant
number of
1-factors

John Schmitt

Introduction

Definitions and
notation

History

Overview

Results

Two 1-factors

Three 1-factors

$p \geq 4$ 1-factors

Threshold or not

Bounds

Questions

Question

$f(n, (2t - 1)!!) \geq \frac{n^2}{4} + (t^2 - t)$ and is tight for $t = 1$ and $t = 2$. Is it always?

Question

We know $f(n + 2, p) \geq f(n, p) + (n + 1)$. Is it tight? If yes, then answer to previous question is yes.

Thanks!